

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
**As on financial year ended on 31.03.2019**

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management & Administration) Rules, 2014.

| <b>I. REGISTRATION &amp; OTHER DETAILS:</b> |  |  |
|---|--|--|
| 1   | CIN  | U28998HR2010PTC040998  |
| 2   | Registration Date  | 02.08.2010   |
| 3   | Name of the Company  | OSWAL CASTINGS PRIVATE LIMITED   |
| 4   | Category/Sub-category of the Company                                       | PRIVATE LIMITED  |
| 5   | Address of the Registered office & contact details                         | PLOT NO.21, SECTOR-25, FARIDABAD-121004, HARYANA   |
| 6   | Whether listed company   | NO   |
| 7   | Name, Address & contact details of the Registrar & Transfer Agent, if any. | LINK INTIME INDIA PRIVATE LIMITED<br>ADDRESS :- C-101, 247 PARK, LBS MARG, VIKHROLI (WEST), MUMBAI-400083<br>MAHARASHTRA |

| <b>II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY</b>  |  |                                 |                                    |
|--|--|---------------------------------|------------------------------------|
| (All the business activities contributing 10 % or more of the total turnover of the company shall be stated) |  |                                 |                                    |
| S. No.   | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the company |
| 1  | MOTOR VEHICLE PARTS                              | 293                             | 59.65%                             |
| 2  | WASHING MACHINE PARTS                            | 243                             | 20.31%                             |
| 3  | GENERAL ENGINEERING                              | 271                             | 20.04%                             |

| <b>III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES</b> |                                 |         |                                |                  |                    |
|--|---------------------------------|---------|--------------------------------|------------------|--------------------|
| SN   | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held | Applicable Section |
| 1  | NA                              | NA      | NA                             | NA               | NA                 |
| 2  |                                 |         |                                |                  |                    |
| 3  |                                 |         |                                |                  |                    |

**IV. SHARE HOLDING PATTERN**

(Equity share capital breakup as percentage of total equity)

**(i) Category-wise Share Holding**

| Category of Shareholders   | No. of Shares held at the beginning of the year<br>[As on 01-04-2018] |           |           |                   | No. of Shares held at the end of the year<br>[As on 31-March-2019] |          |           |                   | % Change during the year |
|--|---|-----------|-----------|-------------------|--|----------|-----------|-------------------|--------------------------|
|  | Demat   | Physical  | Total     | % of Total Shares | Demat  | Physical | Total     | % of Total Shares |                          |
| <b>A. Promoters</b>  |   |           |           |                   |  |          |           |                   |                          |
| <b>(1) Indian</b>  |   |           |           |                   |  |          |           |                   |                          |
| a) Individual  | -   | 1,000,000 | 1,000,000 | 100.00%           | 1,000,000  | -        | 1,000,000 | 100.00%           | 0.00%                    |
| b) Central Govt  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| c) State Govt(s)   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| d) Bodies Corp.  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| e) Banks / FI  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| f) Any other   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| <b>Sub Total (A) (1)</b>   | -   | 1,000,000 | 1,000,000 | 100.00%           | 1,000,000  | -        | 1,000,000 | 100.00%           | 0.00%                    |
|  |   |           |           |                   |  |          |           |                   |                          |
| <b>(2) Foreign</b>   |   |           |           |                   |  |          |           |                   |                          |
| a) NRI Individuals   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| b) Other Individuals   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| c) Bodies Corp.  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| d) Any other   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| <b>Sub Total (A) (2)</b>   | -   | -         | -         | 0.00%             | -  | -        | -         | 0.00%             | 0.00%                    |
| <b>TOTAL (A)</b>   | -   | 1,000,000 | 1,000,000 | 100.00%           | 1,000,000  | -        | 1,000,000 | 100.00%           | 0.00%                    |
|  |   |           |           |                   |  |          |           |                   |                          |
| <b>B. Public</b>   |   |           |           |                   |  |          |           |                   |                          |
| <b>1. Institutions</b>   |   |           |           |                   |  |          |           |                   |                          |
| a) Mutual Funds  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| b) Banks / FI  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| c) Central Govt  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| d) State Govt(s)   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| e) Venture Capital Funds   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| f) Insurance Companies   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| g) FIs   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| h) Foreign Venture Capital Funds   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| i) Others (specify)  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| <b>Sub-total (B)(1):-</b>  | -   | -         | -         | 0.00%             | -  | -        | -         | 0.00%             | 0.00%                    |
|  |   |           |           |                   |  |          |           |                   |                          |
| <b>2. Non-Institutions</b>   |   |           |           |                   |  |          |           |                   |                          |
| a) Bodies Corp.  |   |           |           |                   |  |          |           |                   |                          |
| i) Indian  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| ii) Overseas   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| b) Individuals   |   |           |           |                   |  |          |           |                   |                          |
| i) Individual shareholders holding nominal share capital upto Rs. 1 lakh         |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| c) Others (specify)  |   |           |           |                   |  |          |           |                   |                          |
| Non Resident Indians   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| Overseas Corporate Bodies  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| Foreign Nationals  |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| Clearing Members   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |
| Trusts   |   |           | -         | 0.00%             |  |          | -         | 0.00%             | 0.00%                    |

|  |   |           |           |         |           |   |           |         |       |
|--|---|-----------|-----------|---------|-----------|---|-----------|---------|-------|
| Foreign Bodies - D R                                   |   |           | -         | 0.00%   |           |   | -         | 0.00%   | 0.00% |
| <b>Sub-total (B)(2):-</b>                              | - | -         | -         | 0.00%   | -         | - | -         | 0.00%   | 0.00% |
| <b>Total Public (B)</b>                                | - | -         | -         | 0.00%   | -         | - | -         | 0.00%   | 0.00% |
| <b>C. Shares held by Custodian for GDRs &amp; ADRs</b> |   |           | -         | 0.00%   |           |   |           | 0.00%   | 0.00% |
| <b>Grand Total (A+B+C)</b>                             | - | 1,000,000 | 1,000,000 | 100.00% | 1,000,000 | - | 1,000,000 | 100.00% | 0.00% |

| SI No. | Shareholders' Name | Shareholding at the beginning of the Year |                                  |   | Shareholding at the end of the Year |                                  |   | % Change in shareholding during the year |
|--------|--------------------|---|----------------------------------|---|-------------------------------------|----------------------------------|---|--|
|        |                    | No. of Shares                             | % of total Shares of the Company | % of Shares Pledged/ encumbered to total shares | No. of Shares                       | % of total Shares of the Company | % of Shares Pledged/ encumbered to total shares |  |
| 1      |                    |   |                                  |   |                                     |                                  |   |  |
| 2      |                    |   |                                  |   |                                     |                                  |   |  |
| 3      |                    |   |                                  |   |                                     |                                  |   |  |
|        | <b>Total</b>       |   |                                  |   |                                     |                                  |   |  |

**(ii) Shareholding of Promoter**

| SN | Shareholder's Name | Shareholding at the beginning of the year |                                  |   | Shareholding at the end of the year |                                  |  | % change in shareholding during the year |
|----|--------------------|---|----------------------------------|---|-------------------------------------|----------------------------------|--|--|
|    |                    | No. of Shares                             | % of total Shares of the company | % of Shares Pledged/ encumbered to total shares | No. of Shares                       | % of total Shares of the company | % of Shares Pledged / encumbered to total shares |  |
| 1  | RAJ KUMAR JAIN     | 100,000                                   | 10.00%                           | 0   | 100,000                             | 10.00%                           | 0  | 0.00%                                    |
| 2  | RAJ RANI JAIN      | 50,000                                    | 5.00%                            | 0   | 50,000                              | 5.00%                            | 0  | 0.00%                                    |
| 3  | SHANTI LAL JAIN    | 62,500                                    | 6.25%                            | 0   | 62,500                              | 6.25%                            | 0  | 0.00%                                    |
| 4  | MADHU JAIN         | 187,500                                   | 18.75%                           | 0   | 187,500                             | 18.75%                           | 0  | 0.00%                                    |
| 5  | CHAND JAIN         | 250,000                                   | 25.00%                           | 0   | 250,000                             | 25.00%                           | 0  | 0.00%                                    |
| 6  | RAJAN JAIN         | 250,000                                   | 25.00%                           | 0   | 250,000                             | 25.00%                           | 0  | 0.00%                                    |
| 7  | ABHISHEK JAIN      | 50,000                                    | 5.00%                            | 0   | 50,000                              | 5.00%                            | 0  | 0.00%                                    |
| 8  | AKHILESH JAIN      | 50,000                                    | 5.00%                            | 0   | 50,000                              | 5.00%                            | 0  | 0.00%                                    |
|    | <b>TOTAL</b>       | <b>1,000,000</b>                          | <b>100.00%</b>                   |   | <b>1,000,000</b>                    | <b>100.00%</b>                   |  |  |

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

| SN | Particulars                  | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                              |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
|    | At the beginning of the year |      |        | 1,000,000                                 | 100.00%           | 1,000,000                               | 100.00%           |
|    | Changes during the year      |      |        | -   | 0.00%             |   | 0.00%             |
|    |                              |      |        |   | 0.00%             |   | 0.00%             |
|    |                              |      |        |   | 0.00%             |   | 0.00%             |
|    | At the end of the year       |      |        | 1,000,000                                 | 100.00%           | 1,000,000                               | 100.00%           |

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

| SN | For each of the Top 10 shareholders | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|-------------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                                     |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | Name                                |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        |   | 0.00%             |   | 0.00%             |
|    | Changes during the year             |      |        |   | 0.00%             |   | 0.00%             |
|    | At the end of the year              |      |        |   | 0.00%             |   | 0.00%             |
| 2  | Name                                |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        |   | 0.00%             |   | 0.00%             |
|    | Changes during the year             |      |        |   | 0.00%             |   | 0.00%             |
|    | At the end of the year              |      |        |   | 0.00%             |   | 0.00%             |

**(v) Shareholding of Directors and Key Managerial Personnel:**

| SN | Shareholding of each Directors and each Key Managerial Personnel | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|--|------|--------|---|-------------------|---|-------------------|
|    |  |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | RAJ KUMAR JAIN   |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 100,000                                   | 10.00%            | 100,000                                 | 10.00%            |
|    | Changes during the year  |      |        | -   | 0.00%             |   | 0.00%             |
|    | At the end of the year   |      |        | 100,000                                   | 10.00%            | 100,000                                 | 10.00%            |
| 2  | CHAND JAIN   |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 250,000                                   | 25.00%            | 250,000                                 | 25.00%            |
|    | Changes during the year  |      |        | -   | 0.00%             |   | 0.00%             |
|    | At the end of the year   |      |        | 250,000                                   | 25.00%            | 250,000                                 | 25.00%            |
| 3  | RAJAN JAIN   |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 250,000                                   | 25.00%            | 250,000                                 | 25.00%            |
|    | Changes during the year  |      |        | -   | 0.00%             |   | 0.00%             |
|    | At the end of the year   |      |        | 250,000                                   | 25.00%            | 250,000                                 | 25.00%            |

|   |                              |  |  |        |       |        |       |
|---|------------------------------|--|--|--------|-------|--------|-------|
| 4 | ABHISHEK JAIN                |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | 50,000 | 5.00% | 50,000 | 5.00% |
|   | Changes during the year      |  |  | -      | 0.00% |        | 0.00% |
|   | At the end of the year       |  |  | 50,000 | 5.00% | 50,000 | 5.00% |
| 5 | AKHILESH JAIN                |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | 50,000 | 5.00% | 50,000 | 5.00% |
|   | Changes during the year      |  |  | -      | 0.00% |        | 0.00% |
|   | At the end of the year       |  |  | 50,000 | 5.00% | 50,000 | 5.00% |
| 6 | SUBODH GAUR                  |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | -      | 0.00% | -      | 0.00% |
|   | Changes during the year      |  |  | -      | 0.00% | -      | 0.00% |
|   | At the end of the year       |  |  | -      | 0.00% | -      | 0.00% |
| 7 | A. S. BHALLA                 |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | -      | 0.00% | -      | 0.00% |
|   | Changes during the year      |  |  | -      | 0.00% | -      | 0.00% |
|   | At the end of the year       |  |  | -      | 0.00% | -      | 0.00% |

|   |                              |  |  |   |       |   |       |
|---|------------------------------|--|--|---|-------|---|-------|
| 8 | PANKAJ KUMAR MITTAL          |  |  |   |       |   |       |
|   | At the beginning of the year |  |  | - | 0.00% | - | 0.00% |
|   | Changes during the year      |  |  | - | 0.00% | - | 0.00% |
|   | At the end of the year       |  |  | - | 0.00% | - | 0.00% |

#### V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

| Particulars  | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|----------------------------------|-----------------|----------|--------------------|
| <b>Indebtedness at the beginning of the financial year</b> |                                  |                 |          |                    |
| i) Principal Amount  | 2,891.17                         | 2,400.00        | -        | 5,291.17           |
| ii) Interest due but not paid                              |                                  |                 |          | -                  |
| iii) Interest accrued but not due                          |                                  |                 |          | -                  |
| <b>Total (i+ii+iii)</b>                                    | <b>2,891.17</b>                  | <b>2,400.00</b> | <b>-</b> | <b>5,291.17</b>    |
| <b>Change in Indebtedness during the financial year</b>    |                                  |                 |          |                    |
| * Addition   | 530.92                           |                 | -        | 530.92             |
| * Reduction  | 1,081.17                         | 1,500.00        |          | 2,581.17           |
| Net Change   | (550.25)                         | (1,500.00)      | -        | (2,050.25)         |
| <b>Indebtedness at the end of the financial year</b>       |                                  |                 |          |                    |
| i) Principal Amount  | 2,340.92                         | 900.00          | -        | 3,240.92           |
| ii) Interest due but not paid                              |                                  |                 |          | -                  |
| iii) Interest accrued but not due                          |                                  |                 |          | -                  |
| <b>Total (i+ii+iii)</b>                                    | <b>2,340.92</b>                  | <b>900.00</b>   | <b>-</b> | <b>3,240.92</b>    |

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

| SN. | Particulars of Remuneration   | Name of MD/WTD/ Manager |                   |                   |               |               | Total Amount<br>(Rs/Lac) |
|-----|---|-------------------------|-------------------|-------------------|---------------|---------------|--------------------------|
|     |   | Raj Kumar Jain          | Chand Jain        | Rajan Jain        | Abhishek Jain | Akhilesh Jain |                          |
|     | Name  | Chairman                | Managing Director | Managing Director | Director      | Director      |                          |
|     | Designation   |                         |                   |                   |               |               |                          |
| 1   | Gross salary  |                         |                   |                   |               |               |                          |
|     | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 98.13                   | 51.81             | 85.58             | 28.66         | 17.93         | 282.11                   |
|     | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | 3.79                    | 2.06              | 3.79              | 2.24          | 1.22          | 13.10                    |
|     | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961              |                         |                   |                   |               |               | -                        |
| 2   | Stock Option  |                         |                   |                   |               |               | -                        |
| 3   | Sweat Equity  |                         |                   |                   |               |               | -                        |
|     | Commission  |                         |                   |                   |               |               | -                        |
| 4   | - as % of profit  | 4.63                    | -                 | 4.63              | -             | -             | 9.26                     |
|     | - others, specify   |                         |                   |                   |               |               | -                        |
| 5   | Others, please specify  |                         |                   |                   |               |               | -                        |
|     | Total (A)   | 106.55                  | 53.87             | 94.00             | 30.90         | 19.15         | 304.47                   |
|     | Ceiling as per the Act  |                         |                   |                   |               |               |                          |

**B. Remuneration to other Directors**

| SN. | Particulars of Remuneration          | Name of Directors |   |   |   | Total Amount<br>(Rs/Lac) |
|-----|--------------------------------------|-------------------|---|---|---|--------------------------|
|     |                                      |                   |   |   |   |                          |
| 1   | <b>Independent Directors</b>         |                   |   |   |   |                          |
|     | Fee for attending board committee    |                   |   |   |   | -                        |
|     | Commission                           |                   |   |   |   | -                        |
|     | Others, please specify               |                   |   |   |   | -                        |
|     | Total (1)                            | -                 | - | - | - | -                        |
| 2   | <b>Other Non-Executive Directors</b> |                   |   |   |   | -                        |
|     | Fee for attending board committee    |                   |   |   |   | -                        |
|     | Commission                           |                   |   |   |   | -                        |
|     | Others, please specify               |                   |   |   |   | -                        |
|     | Total (2)                            | -                 | - | - | - | -                        |
|     | Total (B)=(1+2)                      | -                 | - | - | - | -                        |
|     | Total Managerial Remuneration        |                   |   |   |   | 304.47                   |
|     | Overall Ceiling as per the Act       |                   |   |   |   |                          |

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

| SN. | Particulars of Remuneration   | Name of Key Managerial Personnel |       |      | Total Amount<br>(Rs/Lac) |
|-----|---|----------------------------------|-------|------|--------------------------|
|     |   | Name                             | CEO   | CFO  |                          |
|     | Designation   |                                  |       |      |                          |
| 1   | Gross salary  |                                  |       |      |                          |
|     | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 58.58                            | 60.43 | 7.25 | 126.26                   |
|     | (b) Value of perquisites u/s 17(2) Income-  | 4.50                             | 0.39  |      | 4.89                     |
|     | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961             |                                  |       |      | -                        |
| 2   | Stock Option  |                                  |       |      | -                        |
| 3   | Sweat Equity  |                                  |       |      | -                        |
| 4   | Commission  |                                  |       |      |                          |
|     | - as % of profit  |                                  | -     | -    | -                        |
|     | - others, specify   |                                  |       |      | -                        |
| 5   | Others, please specify  |                                  |       |      | -                        |
|     | Total   | 63.08                            | 60.82 | 7.25 | 131.15                   |

Note : CEO remuneration pertains to nine months only in F.Y. 2018-19 being cessation of employment.

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

| Type                                | Section of the Companies Act | Brief Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give Details) |
|-------------------------------------|------------------------------|-------------------|---|------------------------------|------------------------------------|
| <b>A. COMPANY</b>                   |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   | NA  |                              |                                    |
| Punishment                          |                              |                   | NA  |                              |                                    |
| Compounding                         |                              |                   | NA  |                              |                                    |
| <b>B. DIRECTORS</b>                 |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   | NA  |                              |                                    |
| Punishment                          |                              |                   | NA  |                              |                                    |
| Compounding                         |                              |                   | NA  |                              |                                    |
| <b>C. OTHER OFFICERS IN DEFAULT</b> |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   | NA  |                              |                                    |
| Punishment                          |                              |                   | NA  |                              |                                    |
| Compounding                         |                              |                   | NA  |                              |                                    |

SD/-

**RAJ KUMAR JAIN**  
**DIRECTOR**  
DIN NO. 00089288

SD/-

**RAJAN JAIN**  
**MANAGING DIRECTOR**  
DIN NO. 00089832